



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Norikazu Endo, Benjamin K. Reaves, Babak Hodjak, Kanae Ishii,
Makoto Kurahashi and Masahiko Funaki

APPLICATION NO.: 10/728,600

FILING DATE: December 4, 2003

TITLE: Shortcut Names for Use in a Speech Recognition System

EXAMINER: Unknown

GROUP ART UNIT: 2644

ATTY. DKT. NO.: 23230-08141

CERTIFICATE OF MAILING

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Dated: April / , 2004

By:

Jae Won Song, 37 C.F.R. §10.9(b)

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INFORMATION DISCLOSURE STATEMENT

Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
 - ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a

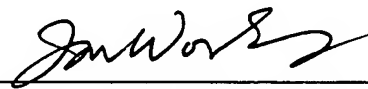
foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. APPLICATION NO., filed on DATE FILED, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
 NORIKAZU ENDO, BENJAMIN K. REAVES,
 BABAK HODJAT, KANAE ISHII, MAKOTO
 KURAHASHI AND MASAHIKO FUNAKI

Dated: April 1, 2004

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0001/PTO Rev. 10/95		U.S. Department of Commerce Patent and Trademark Office		Application Number	10/728,600
TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>				Filing Date	December 4, 2003
				First Named Inventor	Norikazu Endo
				Group Art Unit Number	2644
				Examiner Name	Unknown
Total Number of Pages in This Submission		6*	Attorney Docket Number		23230-08141

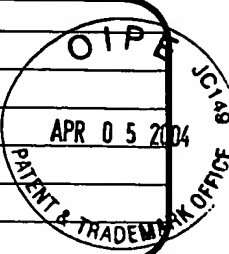
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REMARKS:	

SIGNATURE OF ATTORNEY OR AGENT			
Signature:			
Attorney/Reg. No.:	Jae Won Song, 37 C.F.R. §10.9(b)	Dated:	April / , 2004

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known	
				Application No.	10/728,600
				Filing Date	December 4, 2003
				First Named Inventor	Norikazu Endo
				Art Unit	2644
				Examiner Name	Unknown
Sheet	1	of	1	Attorney Docket Number	23230-08141



U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A	US-10/326,492	12-20-2002	Treadgold, et al.
	B	US-6,260,059 B1	7-10-2001	Ueno, et al.
	C	US-6,243,459 B1	6-5-2001	Cannon, et al.
	D	US-6,144,989	11-7-2000	Hodjat, et al.
	E	US-6,029,165	12-22-2000	Gable
	F	US-6,006,225	12-21-1999	Bowman, et al.
	G	US-5,974,413	10-26-1999	Beauregard, et al.
	H	US-5,890,146	3-31-1999	Wavish, et al.
	I	US-5,734,897	3-31-1998	Shiono, et al.
	J	US-5,007,019	4-9-1991	Squillante, et al.

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ³
	K	RANKIN, PAUL J., "Context-Aware Mobile Phones: The Difference Between Pull and Push, Restoring The Importance of Place," Human Computer Interaction International, August 4-10, 2001, New Orleans, LA	
	L	"Developing Flexible Say Anything Grammars," Student Guide, Nuance Communications, Inc. Student Guide, 79 pages.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

23230/08141/DOCS/1407177.1